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UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
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09/063477 04/20/98 HOLTON R E5440302

000321 0222/0508
SENNIGER, POWERS, LEAVITT, & ROEDEL
ONE METROPOLITAN SQUARE
16TH FLOOR
ST LOUIS MO 63102

NOT ASSIGNED

1612

DATE MAILED:

05/08/98

NOTICE TO FILE MISSING PARTS OF APPLICATION
Filing Date Granted

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a

☐ small entity (statement filed) ☒ non-small entity is \$ 1880

☒ 1. The statutory basic filing fee is:

- ☒ missing
- ☒ insufficient

Applicant must submit \$ 790 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☐ 2. Additional claim fees of \$ _____, including any multiple dependent claim fees, are required.

\$ 550 for 25 independent claims over 3.

\$ 410 for 5 dependent claims over 20.

\$ _____ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☒ 3. The oath or declaration:

- ☒ is missing or unexecuted.
- ☐ does not cover the newly submitted items.
- ☐ does not identify the application to which it applies.
- ☐ does not include the city and state or foreign country of applicant's residence.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

07/15/98 0000057 01063477
The signature of the following joint inventor(s) is missing from the oath or declaration:

01 FC:201
02 FC:201
03 FC:205
04 FC:202
An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☒ 5. \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed to you because your check was returned without payment.

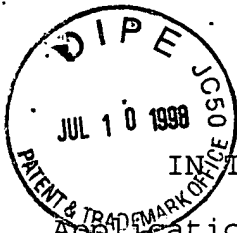
☐ 8. The application does not comply with the Sequence Rules.
See attached "Notice to Comply with Sequence Rules 37 CFR 1.821-1.825."

☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

A copy of this notice MUST be returned with the reply.

ENH
Customer Service Center
Internal Patent Examination Division (703) 308-1202



FSUM 10302
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of Robert A. Holton et al.

Serial No. 09/063,477

Filed April 20, 1998

For PROCESS FOR SELECTIVE DERIVATIZATION OF TAXANES

July 8, 1998

**LETTER IN RESPONSE TO NOTICE TO FILE MISSING
PARTS OF APPLICATION - FILING DATE GRANTED**

TO THE COMMISSIONER OF PATENTS AND TRADEMARKS,
SIR:

* In response to the "Notice to File Missing Parts of
* Application - Filing Date Granted," dated May 8, 1998, enclosed
* is applicants' signed Declaration and Power of Attorney in the
* above-referenced application. A copy of the Notice is returned
herewith.

* Also enclosed is a check in the amount of \$940.00
(\$875.00 for the government filing fee and \$65.00 in payment of
the surcharge).

In addition applicant is entitled to small entity
status as evidenced by the three (3) enclosed signed Verified
Statements (Declarations) Claiming Small Entity Status (37 C.F.R.
1.9(f) and 1.27(d)) - Nonprofit Organization; and (37 C.F.R.
1.9(f) and 1.27(c)) - Small Business Concern. An assignment by
the inventor(s) to Florida State University is being mailed
today.

Respectfully submitted,

Edward J. Hejlek, Reg. No. 31,525
SENNIGER, POWERS, LEAVITT & ROEDEL
One Metropolitan Square, 16th Floor
St. Louis, Missouri 63102
(314) 231-5400

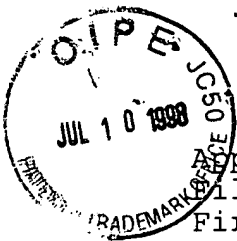
RECEIVED
JUL 17 1998
GROUP 1800

CERTIFICATE OF MAILING

I hereby certify that the foregoing Response to Notice to File Missing Parts of Application - Filing Date Granted in the application of Robert A. Holton et al., Serial No. 09/063,477, filed April 20, 1998 is being deposited with the United States Postal Service as first class mail, postage prepaid, in an envelope addressed to: Box Missing Parts, Assistant Commissioner for Patents, Washington, D.C. 20231 on this 8th day of July, 1998.

Darlene Pearman
Darlene Pearman

EJH/dep
*Enclosures



FEE TRANSMITTAL

Application Number 09/063,477
Filing Date April 20, 1998
First Named Inventor Robert A. Holton et al.
Attorney Docket Number FSUM 10302

METHOD OF PAYMENT

1. ☐ The Commissioner is hereby authorized to charge the indicated fees to Deposit Account No. 19-1345, in the name of Senniger, Powers, Leavitt & Roedel.
- ☐ The Commissioner is hereby authorized to charge any additional filing and claim fees under 37 CFR 1.16 and application processing fees under 37 CFR 1.17 to Deposit Account No. 19-1345, in the name of Senniger, Powers, Leavitt & Roedel.
2. ☒ Check Enclosed. The Commissioner is hereby authorized to charge any under payment or credit any over payment to Deposit Account No. 19-1345, in the name of Senniger, Powers, Leavitt & Roedel.

FEE CALCULATION

1. ☒ BASIC FILING FEE \$ 395.00 (Type: Formal Utility)
Entity Status: small

2. ☒ CLAIM FEE \$ 480.00

Total Claims 45
Independent Claims 8
Multiple Dependent Claims _____

3. ☒ ADDITIONAL FEES \$ 65.00

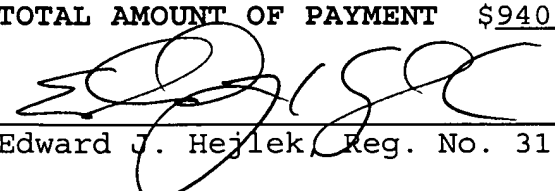
- ☒ Surcharge - late filing fee or oath
☐ Surcharge - late provisional filing fee or cover sheet
☐ Extension for reply within _____ month
☐ Notice of Appeal
☐ Filing a Brief in Support of an appeal
☐ Request for Reexamination
☐ Petitions to the Commissioner
☐ Submission of Information Disclosure Statement
☐ Recording each patent assignment per property
☐ Other: _____

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TOTAL AMOUNT OF PAYMENT \$ 940.00


Edward J. Hejlek, Reg. No. 31,525

7/8/98
Date

EJH/dep